

# Thales Luna HSM Client 10.2.0 for Luna Network HSM

## **CUSTOMER RELEASE NOTES**

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https://supportportal.thalesgroup.com

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## **Product Description**

Luna Network HSM secures your sensitive data and critical applications by storing, protecting and managing your cryptographic keys in a high-assurance, tamper-resistant, network-attached appliance that offers market-leading performance. Luna Network HSM meets compliance and audit needs for FIPS 140, HIPAA, PCI-DSS, eIDAS, GDPR, and others, in highly-regulated industries including Financial, Healthcare, and Government.

Luna Network HSM offers up to 100 HSM partitions, high-availability configuration options, remote management, PED, backup, and dual hot-swappable power supplies.

## **Release Description**

Luna HSM Client release 10.2.0 includes Client software with drivers and tools. You can view, administer, and access via API, both password-authenticated Luna HSM application partitions and Luna Cloud HSM services from Data Protection on Demand, with the ability to securely clone objects between the two (see "Advisory Notes" on the next page for provisos).

## **New Features and Enhancements**

Luna HSM Client 10.2.0 for Luna Network HSM introduces the following new features and enhancements:

#### New Luna HSM Client Operating System Support

Luna HSM Client 10.2.0 can be installed on the following new operating systems:

- > Windows Server Core 2016/2019
- > Red Hat Enterprise Linux 8 (including variants like CentOS 8)
- > AIX 7.2

#### Support for New Mechanisms in Luna HSM Firmware 7.4.2

Luna HSM Client 10.2.0 includes support for Luna HSM firmware 7.4.2 mechanisms.

- > 3GPP Cryptography for 5G Mobile Networks
- > SM2/SM4 Support
- > SHA-3 Function Support

**NOTE** Refer to "Special Considerations for PED-Authenticated Luna HSMs" on page 9 before installing Luna HSM firmware 7.4.2.

#### **Fixes**

Issues addressed in this release are listed in "Resolved Issues" on page 21.

## **Advisory Notes**

This section highlights important issues you should be aware of before deploying this release.

#### Red Hat Enterprise Linux / CentOS 6 Will Not be Supported in Future Releases

Luna HSM Client 10.2.0 is the last version that will support RHEL 6 and related operating systems. If you plan to install future client updates, consider updating your clients to RHEL 7 or 8.

#### Luna HSM Firmware 7.4.1 is No Longer Available

Luna HSM firmware 7.4.1 is no longer available for download from the Thales Customer Portal. Thales recommends that all customers using HSM firmware version 7.4.1 update to 7.4.2 or higher.

#### Luna HSM Client 7.5 is No Longer Available

Luna HSM Client 7.5 is no longer available for download from the Thales Customer Portal. Thales strongly recommends that all customers using version 7.5 update their client software to 10.1 or higher.

#### Older JAVA versions require patch/update

The .jar files included with the Luna HSM Client have been updated with a new certificate, signed by the Oracle JCE root certificate. This certificate validation requires a minimum Oracle JDK/JRE version.

- > If your application relies on Oracle Java 7 or 8, you must update to the advanced version provided by Oracle. You require (at minimum) version **7u131** or **8u121**. Please refer to Oracle's website for more information: https://www.oracle.com/technetwork/java/java-se-support-roadmap.html
- > If your application relies on IBM Java 7 or 8, you must install a patch from IBM before updating to Luna HSM Client 10.x (see APAR IJ25459 for details).

#### "CKR\_MECHANISM\_INVALID" messages in mixed DPoD implementations

When using DPoD with Luna HSM Client, you might encounter errors like "CKR\_MECHANISM\_INVALID" or "Error NCryptFinalizeKey" during some operations in Hybrid HA and FIPS mode (3DES Issue). This can occur if firmware versions differ between a Luna Network HSM partition and a DPoD service in an HA group when you invoke a mechanism that is supported on one but not the other. Similarly, if one member is in FIPS mode, while the other is not, a mechanism might be requested that is allowed for one member, but not the other. For example, the **ms2luna** tool can fail when 3DES operations are invoked.

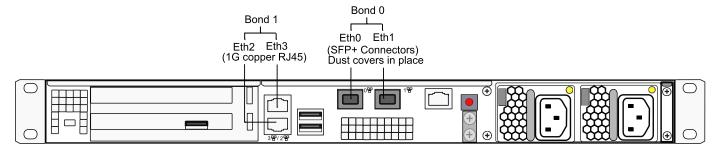
#### Important note about 10 Gbps Optical Ethernet modules

The Network HSM Appliance is shipped from the factory with FIPS-validated firmware installed, and can be purchased with one of two options for Ethernet ports:

- > 1Gbps copper-only RJ-45 connectors for all four physical Ethernet ports, or
- > 10Gbps Optical Ethernet on two of the ports and 1Gbps RJ-45 connections on the other two ports.

The first option was already the standard, factory-delivered appliance.

The second option behaves identically to the first, in all respects, except the following five points



- > Two of the Ethernet ports (see the middle, upper portion of the diagram, just above the ventilation grid) have 10Gbps Optical Ethernet SFP+ connectors, while the two Ethernet ports (stacked vertically beside the HSM slot) retain 1Gbps copper RJ-45 sockets.
- > The small form-factor pluggable (SFP) transceiver modules are packed in their own independent packaging to avoid possible damage and dust during shipping and handling, and those must be inserted into the SFP+ connectors on the appliance during appliance installation. (See the Installation Guide in the main product documentation)
- > The logical Ethernet port assignments are different from the standard appliance, such that the 10Gbps optical ports are designated Eth0 and Eth1, while the 1Gbps copper ports are designated Eth2 and Eth3.
- > The output of the Luna Shell (lunash:>) command **network show -verbose** displays "FIBRE" and the 10000baseT/Full option, when the appliance has optical Ethernet ports.
- > Port bonding is allowed only between Ethernet ports of the same type and speed.

**CAUTION!** To use 10 Gbps optical Ethernet, update Luna Network HSM appliance software to version 7.4 or higher. Do not attempt to update a 10G-ready appliance to version 7.3.x.

#### Support for 32-bit OS Platforms is Ending

Starting with Luna HSM Client 10.1.0, 32-bit libraries are no longer provided. If you have a 32-bit application or integration, remain with a previous client release (such as 7.2, 7.3, or 7.4), or migrate to 64-bit platform.

### Resolved Issues LKX-2832/LUNA-956: CKA\_EXTRACTABLE Default Setting

Formerly, the CKA\_EXTRACTABLE attribute on new, unwrapped, and derived keys was incorrectly set to TRUE by default. This was resolved in Luna HSM firmware 7.0.2 and higher. In firmware 7.0.2 and higher, the CKA\_EXTRACTABLE attribute on new, unwrapped, and derived keys is set to FALSE by default.

**NOTE** If you have existing code or applications that expect keys to be extractable by default, you must modify them to explicitly set the CKA\_EXTRACTABLE attribute value to TRUE.

## Resolved Issue LUNA-7585: Java DERIVE and EXTRACT flag settings for keys injected into the HSM

Formerly, the DERIVE and EXTRACT flags were forced to "true" in the JNI, which overrode any values passed by applications via Java. This is resolved in Luna release 7.3 and higher.

As of release 7.3:

- > The default values for the DERIVE and EXTRACT flags are set to "false" (were set to "true" in previous releases.
- > JNI accepts and preserves values set by applications via the following Java calls:

```
LunaSlotManager.getInstance().setSecretKeysDerivable( true );
LunaSlotManager.getInstance().setPrivateKeysDerivable( true );
LunaSlotManager.getInstance().setSecretKeysExtractable( true );
LunaSlotManager.getInstance().setPrivateKeysExtractable( true );
```

**NOTE** If you have existing code that relies on the DERIVE and EXTRACT flags being automatically defined by the JNI for new keys, you will need to modify your application code to set the flag values correctly.

In cases where a derived key must be extractable, add the following line to the java.security file:

com.safenetinc.luna.provider.createExtractablePrivateKeys=true

#### PED Upgrade Required for Currently-Owned PEDs

If you have older PEDs that you intend to use with Luna HSM 7.0 or later, you must upgrade to firmware 2.7.1 (or newer). The upgrade and accompanying documentation (007-012337-003\_PED\_upgrade\_2-7-1-5.pdf) are available from the Thales Support Portal.

#### New USB-powered PED

Gemalto is pleased to announce the availability of Luna HSM PIN Entry Device (PED) v2.8. The v2.8 PED contains new hardware that enables the PED to be USB-powered; there is no longer a requirement for an external DC power Adapter. PED v2.8 is functionally equivalent to your existing (pre-generation) PEDs and is compatible with HSM versions, 5.x, 6.x, and 7.x.

PED v2.8 ships with firmware 2.8.0. Note that you cannot upgrade existing PEDs to the 2.8.0 version; existing PEDs continue to need a separate DC power adapter for remote PED and upgrade use. The model number on the manufacturer's label identifies the refreshed PED: PED-06-0001.

#### To use the new USB-powered PED

- Ensure the Luna HSM Client software is installed on the Windows computer that will provide PED
  authentication for your Luna Network HSM. Installing the Remote PED component of the Luna HSM Client
  installs the required driver.
- 2. Connect the PED to the computer where you installed the Remote PED component of the Luna HSM Client using the USB micro connector on the PED and a USB socket on your computer.
- **3.** After you connect the PED to the host computer, it will take 30 to 60 seconds for initial boot-up, during which time a series of messages are displayed, as listed below:

**BOOT V.1.1.0-1** 

#### **CORE V.3.0.0-1**

Loading PED...

Entering...

- 4. After the boot process is complete, the PED displays Local PED mode and the Awaiting command... prompt. Your new PED is now ready for use.
- To enter Remote PED mode, if needed, exit Local PED mode with the "<" key, and from the Select Mode menu, select option 7 Remote PED.

#### STC over IPv6 is Unavailable

STC client-partition links are not available over an IPv6 network.

#### Remote Backup Over IPv6 is Unavailable

Network connections from the Luna HSM Client to a Remote Backup Server must use IPv4.

**NOTE** Network connections from the client to the HSMs you want to backup using RBS can use IPv6. Only the connection from the client to the RBS server requires IPv4.

#### HSM Logs Sent to Messages Log

The hsm.log file has been removed from Luna 7. The HSM logs are now sent to the messages log.

**NOTE** Although it is ignored, the **hsm** option appears in the syntax for some **syslog** commands (such as **syslog tail -logfiles**).

## **Supported Operating Systems**

This section lists the supported software, hardware, and optional upgrades for the HSM.

#### Luna HSM Client

Luna HSM Client 7.2 and newer can be used with HSMs running Luna 6.2.1 or higher, or any Luna 7 version, without conflict. Luna HSM Client 7.2 and newer versions can coexist in large deployments. You can schedule client roll-outs at your convenience, without need to match versions across your organization. Future HSM features that do not have client-version dependencies will function without issue.

You can install the Luna HSM Client 10.2.0 on the following 64-bit operating systems:

Operating System	Version	Secure Boot	DPoD HSM on Demand
Windows	10	Yes	Yes

Operating System	Version	Secure Boot	DPoD HSM on Demand
Windows Server	2019 (Standard/Core)	Yes	Yes
	2016 (Standard/Core)	Yes	Yes
	2012 R2	No	Yes
Red Hat Enterprise Linux (including variants like CentOS and Oracle Enterprise Linux)	8	No	Yes
	7	No	Yes
SuSe Linux (minimal client only)	12.4	No	No
	11.4	No	No
Ubuntu **	14.04	No	No
	18	No	No

<sup>\*</sup> Although the AIX and Solaris installers display the options, Luna PCle and USB HSMs are not supported in this release. Select only **SafeNet Luna Network HSM** during installation.

#### apt-get install build-essential alien

If you are using a Docker container or another such microservice to install the Luna Minimal Client on Ubuntu, and your initial client installation was on another supported Linux distribution as listed above, you do not require **alien**. Refer to the product documentation for instructions. You might need to account for your particular system and any pre-existing dependencies for your other applications.

#### Remote PEDserver

The PEDserver software is included with the Luna HSM Client software. You must install the Luna HSM Client, with the PEDserver option, on each workstation used to host a remote PED. The PEDserver software is supported on Windows and Linux (see "Supported Operating Systems" on the previous page).

#### Supported Cryptographic APIs

Applications can perform cryptographic operations using the following APIs:

- > PKCS#11 2.20
- > JCA within Oracle Java 7\*/8\*/9/10/11
  - \* Luna HSM Client 10.1 and newer requires the advanced version of Oracle Java 7/8.
- > JCA within OpenJDK 7/8/9/10/11
- > OpenSSL
- > Microsoft CAPI

<sup>\*\*</sup> The Linux installer for Luna HSM Client software is compiled as .rpm packages. To install on a Debian-based distribution, such as Ubuntu, **alien** is used to convert the packages. We used **build-essential**:

#### Microsoft CNG

## **Update Considerations**

Detailed procedures for installing the software and firmware updates can be found in the product documentation. Before you install any updates, consider the following guidelines:

- > Back up all important cryptographic material. Refer to the product documentation for backup procedures.
- > Stop all client applications running cryptographic operations on the HSM.
- > If you are using STC on the HSM Admin channel, disable it by running lunash:> hsm stc disable before you update the HSM firmware.
- > Use an uninterruptible power supply (UPS) to power your HSM. There is a small chance that a power failure during an update could leave your HSM in an unrecoverable condition.

#### Valid Update Paths

The following table provides tested paths for updating to the current software/firmware versions.

Component	Directly from version	To version
Luna HSM Client software	Any	10.2.0
Luna Network HSM appliance software	7.0, 7.1	7.2
	7.2, 7.3	7.4
Luna HSM firmware	7.0.1, 7.0.2	7.0.3, 7.1.0, 7.2.0
	7.0.3, 7.1.0, 7.2.0, 7.3.0	7.3.3*, 7.4.0
	7.3.3, 7.4.0, 7.4.1	7.4.2*
Luna Backup HSM (G5) firmware	6.10.9, 6.24.7, 6.26.0	6.27.0**
Luna Backup HSM (G7) firmware	7.3.2	N/A
Luna PED firmware	2.7.1	N/A
	2.8.0	N/A

<sup>\*</sup> See "Special Considerations for PED-Authenticated Luna HSMs" on the next page to see if any caveat applies to your HSM.

#### FIPS-Validated Firmware Versions

The following firmware versions are all FIPS-140-2 Level 3 certified per certificate #3205:

<sup>\*\*</sup> Note that firmware 6.24.7 is the latest FIPS-validated version for the Luna Backup HSM (G5). FIPS validation might not be strictly necessary for a Backup HSM because it does not perform cryptographic operations with contained objects, but some audit checklists might not make that distinction.

https://csrc.nist.gov/projects/cryptographic-module-validation-program/Certificate/3205

- Luna firmware v. 7.3.3 (recommended, see "Special Considerations for PED-Authenticated Luna HSMs" below)
- > Luna firmware v. 7.0.3 (factory-shipped version)
- > Luna firmware v. 7.0.2 (see F5 note, below)

#### Special Considerations for PED-Authenticated Luna HSMs

Refer to the following table for special firmware 7.3.3 and 7.4.2 update procedures for PED-authenticated HSMs. These procedures apply depending on what firmware version was used to create the application partitions. The install paths described in "Valid Update Paths" on the previous page apply.

Luna HSM Client 10.2.0, or a patched version of Luna HSM Client 7.4.0, is required to make full use of firmware 7.4.2 capabilities.

Partition created in HSM at firmware version	Procedure
7.0.3, 7.3.3	Normal firmware update procedure (refer to HSM documentation)
7.1.0, 7.2.0, 7.3.0, or 7.4.0 with Partition Policy 15 set to ON*	Normal firmware update procedure (refer to HSM documentation) - EXCEPT the Partition SO must reset the challenge secret(s) after the firmware update, so that partition objects become accessible again.
7.1.0, 7.2.0, 7.3.0, or 7.4.0 with Partition Policy 15 set to OFF*	<ol> <li>Before updating firmware, back up your partition contents. This step is essential as access to the partition will be lost after the firmware update.</li> <li>Update your HSM to firmware version 7.3.3 or 7.4.2 (refer to "Valid Update Paths" on the previous page).</li> <li>Your existing partition is no longer accessible; re-initialize the existing partition.</li> <li>Restore your partition objects from backup.</li> </ol>

<sup>\*</sup> By default, Partition Policy 15 is OFF. Turning Policy 15 ON is destructive.

#### **Recommended Minimum Versions**

Generally, Thales recommends that you always keep your HSM firmware, appliance software, and client software up to date, to benefit from the latest features and bug fixes. If regular updates are not possible or convenient, the following table lists the recommended minimum firmware and software versions for use with Luna 7 HSMs. If you are running an earlier version, Thales advises upgrading to the version(s) below (or later) to ensure that you have critical bug fixes and security updates.

	Luna HSM Client	Appliance Software	Luna HSM Firmware
Luna Network HSM 7 Minimum Recommended	7.2	7.2	7.2.0
Configuration			7.0.3

	1	I	
Luna Network HSM 6	7.2	6.3	6.10.9
Minimum Recommended			
Configuration for migration			
to Luna HSM 7			

**NOTE** Customers who wish to use Luna 7 HSMs with F5 Network BIG-IP 13.1 appliances should follow F5 guidelines for supported Luna HSM Client and HSM versions (https://support.f5.com/kb/en-us/products/big-ip\_ltm/manuals/product/f5-safenet-hsm-version-interoperability-matrix.html). At the time of this release, F5's supported versions for Luna 7 are Luna HSM Client 7.1 with appliance software 7.1 and firmware 7.0.2.

#### **Known Issues**

This section lists the issues known to exist in the product at the time of release. Workarounds are provided where available. The following table defines the severity level assigned to each listed issue.

Table 1: List of known issues from Luna HSM Client 10.2.0

Issue	Synopsis	Synopsis		
LUNA-14009	<b>Problem:</b> When running <b>cmu verifyhsm</b> , the interactive mode does not prompt for a challenge string, and fails with " <b>Parameters missing</b> ".			
	workaround: Always specify a	a challenge string: cmu verifyhsm -challenge " <string>"</string>		
LUNA-13907		certificate (cmu requestcertificate) using the wrong attribute to orrect error message is thrown ("Signing key not found").		
	Workaround: Use -privateha HSMoD service.	ndle to specify a key on a Luna partition, and -privateouid on an		
LUNA-13762	<b>Problem:</b> The Windows Devic Luna PCIe HSM and Luna Bac	e Manager sometimes displays incorrect driver version <b>10.2.0.1</b> for		
		gnored. The correct driver version is <b>10.2.0.111</b> .		
LUNA-13144	_	ient 10.2.0, some of the new mechanisms available in Luna firmware <b>kdemo</b> as "Unknown Mechanism Type". They are listed correctly in		
	Workaround: In the Luna API unknown mechanisms are liste	, you can always call mechanisms by name or by vendor code. The ed by vendor code below:		
	CKM_SM2DSA	0x80000b21		
	CKM_SM3_SM2DSA	0x80000b22		
	CKM_SHA1_SM2DSA	0x80000b23		
	CKM_SHA224_SM2DSA	0x80000b24		
	CKM_SHA256_SM2DSA	0x80000b25		
	CKM_SHA384_SM2DSA	0x80000b26		
	CKM SHA512 SM2DSA	0x80000b27		

Issue	Synopsis
LUNA-11367	<b>Problem:</b> On Solaris, attempting to perform operations on an HSM in Secure Transport Mode returns an unhelpful error (CKR_UNKNOWN) instead of the correct CKR_CMD_NOT_ALLOWED_HSM_IN_TRANSPORT.
	Workaround: Refer to the documentation for instructions on recovering the HSM from STM.
SH-4987	<b>Problem:</b> When creating a self-signed certificate with <b>cmu selfsigncertificate</b> , additional characters are added to the specified serial number.
	<b>Workaround:</b> None. Use <b>cmu getattribute</b> to note the actual serial number assigned to the certificate.

Table 2: List of known issues from previous releases

Issue	Synopsis
LUNA-13780	Problem: Importing a DSA public key to a partition using cmu import fails with "Certificate invalid" error.  Workaround: None.
LUNA-13761	Problem: On Linux clients, when running cmu selfsigncertificate with no arguments specified, cmu fails to prompt the user for the relevant object handles/OUIDs, even if multiple valid keypairs exist on the partition.  Workaround: Always specify the object handles/OUIDs of the desired keypair using -publichandle and -privatehandle or -publicouid and -privateouid.
LUNA-13176	Problem: On Linux, selecting only the PEDserver component during client installation installs all available client components.  Workaround: Uninstall the undesired components.
LUNA-13175	Problem: Code comment mistakenly appears in the output for some vtl commands:  Note: Aux cert printing function deprecated in OpenSSL 1.1.0 - reimplement  Workaround: Message can be safely ignored.
LUNA-12822	Problem: CKDEMO option (39) Get OUID reports object OUIDs with extra zeroes appended.  Workaround: Use option (24) Get Attribute to view the correct OUID.
LUNA-12471	Problem: In LunaProvider, some operations prohibited in FIPS mode (insufficient key size, for example) fail with an unhelpful <b>NULL</b> error.  Workaround: Consult documentation for permitted FIPS mode operations.

Issue	Synopsis
LUNA-11724	<b>Problem:</b> When setting up a DPoD HSM on Demand service on Windows, running the <b>setenv</b> script by right-clicking and selecting "Run as Administrator" causes the operation to fail with the error:
	The argument `setenv.ps1` to the -File parameter does not exist. Provide the path to an existing `.ps1` file as an argument to the -File parameter
	<b>Workaround:</b> Run the <b>setenv</b> script in an already-open Administrator command prompt. On Windows Server, the command prompt is always opened with Administrator privileges.
LUNA-11448	Problem: Occasionally, after uninstalling the client software, client binaries remain in the installation directory. Workaround: These can be safely deleted. To keep your configuration when updating to a new client version, do not delete the following files/directories: /cert /PedClient_service
	> /softtoken > crystoki.ini/Chrystoki.conf
LUNA-11269	<b>Problem:</b> When an HSMoD service is a member of an HA group, some events (such as the HSMoD member being dropped and its connection then re-established) are not recorded in the HA log file. <b>Workaround:</b> None.
LUNA-11229	<b>Problem:</b> Occasionally, changing the active slot in LunaCM results in objects not being correctly displayed by lunacm:>partition contents, even though the Crypto Officer remains logged in on that slot.
	The 'Crypto Officer' is currently logged in. Looking for objects accessible to the 'Crypto Officer'.
	No objects viewable to 'Crypto Officer' are currently stored in the partition.
	Workaround: Log in as Crypto Officer again and retry lunacm:>partition contents.
LGX-2032	Problem: The Luna G7 Backup HSM driver fails to uninstall on Windows, causing subsequent client install/uninstall issues.  Workaround: If you encounter this issue, contact Thales Customer Support ("Support Contacts" on page 23).
LUNA-10985	<b>Problem:</b> With appliance software 7.4.0 installed, RSA sign/verify operations show decreased performance when less than 20 threads are used. <b>Workaround:</b> None.
LUNA-11363	<b>Problem:</b> When using an HA group made up of Luna STC partitions and an HSMoD service and restarting the STC service, login to another STC partition in the same session can fail with an error (CKR_STC_NO_CHANNEL). <b>Workaround:</b> Restart LunaCM.

Issue	Synopsis
LUNA-11117	Problem: When using an HSM on Demand service with an Entrust integration on Windows, the entsh session times out after 4 minutes (this does not occur on Linux).  Workaround: Log in to the Entrust session again and rerun the command.
LUNA-11141	Problem: If you installed the Windows client downloaded from the DPoD portal, LunaCM returns a missing DLL error (MSVCP140.dll).  Workaround: Use the Luna HSM Client 10.1 installer, which includes all prerequisite files.  Otherwise, refer to the DPoD documentation (Services > HSM on Demand Services > HSMoD service supported client platforms) for prerequisites to install manually.
SH-4571	Problem: If you exceed the recommended maximum number of objects cloned to/from an HSMoD service in a single cloning operation, the operation sometimes fails with an unhelpful error (CKR_DEVICE_ERROR). In the case of HA groups, this could include key creation operations, since objects are then cloned to the HSMoD service.  Workaround: Expected behavior. Refer to the Luna HSM Client documentation for the recommended maximums.
LUNA-11017 SH-4282	<b>Problem:</b> When using an HA group made up of Luna partitions and an HSMoD service in FIPS mode, if the Luna partition is unavailable, <b>ms2luna</b> fails to migrate keys from the Microsoft CA to the HA slot. <b>Workaround:</b> Ensure that all HA group members are available before you run <b>ms2luna</b> .
SH-4194	Problem: If you perform cmu getpkc on an HSMoD service to confirm a public key, the operation can sometimes fail.  Workaround: To confirm your key pair's origins and security in an HSM, run CKDemo's Display Object (27) function. If the CKA_NEVER_EXTRACTABLE attribute is present, this confirms that the private key was created in the HSM and never extracted.
LUNA-10992	Problem: When using an HA group made up of Luna partitions and an HSMoD service in FIPS mode, if the Luna partition is unavailable, 3DES keygen fails with CKR_MECHANISM_INVALID error.  Workaround: Ensure that all HA group members are available before initiating 3DES keygen.
LKX-5545	Problem: When simultaneously running a combination of FM and non-FM applications with the HSM, an error: Unable to communicate with HSM can occasionally occur under very high operation loads.  Workaround: Restart the HSM to clear the error (lunash:>hsm restart).
LKX-5353	Problem: When a Remote PED connection times out, lunacm:>role login fails with a confusing error (CKR_FUNCTION_FAILED).  Workaround: Run lunacm:>ped disconnect before ped connect.
LKX-5351	Problem: When partition policy 29: Perform RSA signing without confirmation is set to 0 (OFF), all RSA sign operations fail with an error (CKR_DATE_LEN_RANGE).  Workaround: If you use RSA signing, do not turn off partition policy 29.

Issue	Synopsis
LKX-5263	<b>Problem:</b> When audit logs fill up the HSM memory, HSM functions continue when they should be halted until audit logging is properly configured. Affects FM log entries only. <b>Workaround:</b> Configure audit logging on the HSM as described in documentation to prevent HSM
	memory from filling up.
LKX-5259	Problem: FM Capability license can be applied on non-FM-ready hardware.
	<b>Workaround:</b> Ensure your hardware is FM-ready before applying an FM license to the HSM.
LKX-4868	<b>Problem:</b> On a 64-bit client operating system, running <b>multitoken</b> with different BIP32 modes against an STC HA virtual slot causes <b>multitoken</b> to fail with an error (CKR_TOKEN_NOT_PRESENT).
	Workaround: Do not use BIP32 modes with STC HA groups; use NTLS instead.
LKX-4852	<b>Problem:</b> Reset timestamp displayed when reporting metrics via LunaSH or REST can vary, each time the commands are used, by approximately 6s.
	<b>Workaround:</b> Reset the timers. This causes the value to be written to a file, so that the reported reset time remains constant until the next reset.
LKX-4776	Problem: When running a combination of high-traffic FM and standard Luna applications, a rare
	SMFS failure can occur. Standard Luna processes are unaffected.  Workaround: Erase the SMFS using lunash:>hsm fm recover -erase smfs, and restart the FM application if necessary.
LKX-4266	<b>Problem:</b> LunaCM incorrectly allows the user to add FM-enabled partitions to the same HA group as non-FM partitions.
	Workaround: HA groups with a combination of FM and non-FM members are not supported.
LKX-2634	Problem: Cannot back up curve25519 key types to the Luna Backup HSM.
	Workaround: Use cloning or HA to back up your curve25519 key types to another Luna 7.x HSM.
LUNA-8789	Problem: Restricting SSH traffic to an IPv6-configured ethernet port with lunash:>sysconf ssh device <eth#> still allows SSH connection via IPv4.  Workaround: None.</eth#>
LUNA-8760	<b>Problem:</b> Registering an IPv6 NTLS client with REST API by POSTing to /api/lunasa/ntls/clients fails with an HTTP 400 error.
	Workaround: None. Register NTLS clients with LunaSH to avoid this issue.
LUNA-8756 HAPP-267	<b>Problem:</b> An FM-ready Luna Network HSM with appliance software version 7.4.0 and HSM firmware 7.0.3 incorrectly displays " <b>Non-FM</b> " in the output from lunash:> <b>hsm show</b> . LunaCM slot information for a partition on this HSM correctly displays "FM Ready".
	<b>Workaround:</b> Ignore the incorrect output. You must upgrade the HSM firmware to 7.4.0 to use FM

Issue	Synopsis
LUNA-8739	Problem: When an ethernet bond is active, lunash:>sysconf config factoryreset produces an incorrect error:  Error: bond1 is still active.  Workaround: The bond is actually reset and this error can be safely ignored.
LUNA-8695 LKX-5745	Problem: When a Remote PED connection times out, lunacm:>ped connect and lunacm:>ped get indicate that there is an active PED connection, but operations requiring PED authentication produce an error (CKR_CALLBACK_ERROR).  Workaround: Run lunacm:>ped disconnect before ped connect or ped get.
LUNA-8620	<ul> <li>Problem: NTLS failover on 10G optical ports (bond0) sometimes fails.</li> <li>Workaround: None.</li> <li>Troubleshooting: Luna Network HSM supports active-backup bonding mode only. This mode does not require any specific configuration of the switch. If this problem (Bond0 failover unsuccessful) is encountered, we recommend to:</li> <li>1. Trace the packet in the network to ensure that the network interface in the Luna Network HSM is discovered properly.</li> <li>2. Ensure that ARP entry is not incorrectly cached in the network.</li> <li>Such problem could be resolved through manual ping-out from the appliance (lunash:&gt; network ping). To execute such command, the operator must directly connect to the Luna Network HSM</li> </ul>
LUNA-8619	through the serial port.  Problem: During HSM initialization, if the PED operation to create the red domain key fails or times out, subsequent attempts to re-initialize the HSM will not prompt you to create the red domain key.  Workaround: Zeroize the HSM with lunash:>hsm zeroize before re-initializing.
LUNA-8566	Problem: If a tamper state exists on the HSM, the appliance re-image procedure fails without providing a reason.  Workaround: Clear any tamper state before executing lunash:>sysconf reimage start.
LUNA-8548	<ul> <li>Problem: When port bonding is configured on the appliance, SSH service is sometimes lost after an appliance reboot. This issue occurs more often if the appliance is directly connected to a managed switch.</li> <li>Workaround: Log in to LunaSH using a serial connection. Ping any IP using lunash:&gt;network ping <ip> to restore SSH service.</ip></li> </ul>
LUNA-8512	Problem: When a client is connected to multiple FM-enabled HSMs, and one HSM goes down for maintenance, is rebooted, or is busy with a long FM process, new FM processes on other HSMs experience a performance slowdown. Existing processes are unaffected.  Workaround: None. The slowdown only lasts as long as the HSM is down, rebooting, or busy.
LUNA-8348	Problem: When adding a DNS server using REST API, configured port bonds are broken. If there is no other ethernet interface configured, you must use a serial connection to reconfigure the port bond.  Workaround: None. Use LunaSH to configure the DNS servers.

Issue	Synopsis
LUNA-7438	<b>Problem:</b> When using <b>CKdemo</b> to perform a multipart sign/verify operation with a key that has exceeded its specified usage count, an expected error is returned (CKR_KEY_NOT_ACTIVE). The next sign/verify operation with an active key fails with an unexpected error (CKR_OPERATION_ACTIVE). <b>Workaround:</b> Restart <b>CKdemo</b> and attempt the operation again.
LUNA-7436	Problem: Encrypt operations using DES3_CBC_PAD and specifying a NULL buffer fail (CKR_BUFFER_TOO_SMALL).  Workaround: Manually specify a buffer size for these operations.
LUNA-7418	Problem: When logged in to LunaSH as a custom user, resetting the appliance users to factory condition (lunash:>sysconf config factoryreset -service users) does not delete the currently logged-in user.  Workaround: Log in to LunaSH as admin to reset the appliance user configuration.
LUNA-4134	Problem: When the Luna Network HSM is configured for IPv6 connections, a missing file error is displayed in the output from lunash:>network show  (/usr/lunasa/lush/Lroot/Cnetwork/network_utility_common: line 63: /usr/lunasa/bin/getIPv6Prefix: No such file or directory).  Workaround: This error can be safely ignored.
LUNA-4133	Problem: NTLS connection fails when the appliance has the default hostname <code>local_host</code> .  Workaround: Assign a unique hostname to the appliance (lunash:>network hostname <hostname>).</hostname>
LUNA-3554	Problem: The appliance remains disconnected from the network, even though the appliance itself is back online and fully functional.  Workaround: Reboot the appliance.
LUNA-3423	Problem: A failed C_WrapKey call on an STC partition configured for Cloning returns the error CKR_BUFFER_TOO_SMALL, while the same failure on an NTLS Cloning partition returns the error CKR_KEY_NOT_WRAPPABLE.  Workaround: If you are checking logs for one of these exact errors, ensure that you search for the error associated with your connection type.
LUNA-3422	Problem: A failed C_WrapKey call on an STC partition configured for Key Export returns the error CKR_BUFFER_TOO_SMALL, while the same failure on an NTLS Cloning partition returns the error CKR_MECHANISM_INVALID.  Workaround: If you are checking logs for one of these exact errors, ensure that you search for the error associated with your connection type.
LUNA-3421	<b>Problem:</b> A C_CloseAllSessions call on an STC partition configured for Key Export returns CKR_UNKNOWN, while the same call on an NTLS Key Export partition returns CKR_OK. <b>Workaround:</b> None.

Issue	Synopsis
LUNA-3416	<b>Problem:</b> When performing AES encryption on an HA group using AIX and SPARC clients, failover occasionally fails with an error (CKR_TOKEN_NOT_PRESENT). <b>Workaround:</b> None.
LUNA-3414	Problem: One-step Network Trust Link (NTLS) setup fails on Windows with error code CKR_CANCEL when SO Login Enforcement is enabled.  Workaround: Use the multi-step NTLS setup procedure to create a connection to the Luna Network HSM appliance.
LUNA-3343	Problem: When using STC in a high traffic or high multi-threaded application scenario, the error CKR_STC_RESPONSE_REPLAYED is occasionally generated and causes subsequent commands to fail.  Workaround: Restart the client application, and the error will clear.
LUNA-3307	Problem: In LunaCM, clientconfig deploy (one-step NTLS) fails if the partition name contains spaces.  Workaround: Use the multi-step NTLS connection procedure to assign the partition to the client.
LUNA-3291	Problem: When you uninstall the Luna HSM Client software and reinstall it in a custom directory, existing IPv6 NTLS connections are broken. The existing client IPv6 certificates are not copied to the new client certificate directory.  Workaround: Manually copy the IPv6 certificates to the new client certificate directory.
LUNA-3108	Problem: If you uninstall Luna HSM Client and reinstall it in a custom directory, HA logging stops working.  Workaround: Open crystoki.conf/crystoki.ini and edit haLogPath = to match the new client path.
LUNA-3107	<b>Problem:</b> If you uninstall Luna HSM Client and reinstall it in a custom directory, RBS stops working. <b>Workaround:</b> Copy the two certificate files <b>serverkey.pem</b> and <b>server.pem</b> from the original <b>rbs</b> directory to the new <b>rbs</b> directory.
LUNA-3070	Problem:vtl cklog enable/disable command not working if LibUNIX and LibUNIX64 are in different folders.  Workaround: Enable cklog manually by editing Chrystoki.conf/crystoki.ini. Refer to the SDK Reference Guide for details.
LUNA-2646	Problem: One-step NTLS can fail after installing, uninstalling, and reinstalling the Luna HSM Client on Windows.  Workaround: Use the multi-step NTLS setup procedure to create a connection to the Luna Network HSM appliance.
LUNA-2445	Problem: The default maximum length for HA log files is incorrectly set to 40000 bytes, and misreported in LunaCM as 262144 bytes (the intended minimum). This can lead to many small HA log files being rotated frequently.  Workaround: Manually set the HA log maximum file size using lunacm:>hagroup halog - maxlength bytes> the first time you configure HA logging.

Issue	Synopsis
LUNA-2261	<b>Problem:</b> "CKR_DATA_INVALID" on wrap/unwrap with <b>multitoken</b> on AIX and Solaris clients. <b>Workaround:</b> None.
LUNA-2252	Problem: Invalid options are displayed on Solaris installer.  Workaround: Only the Luna Network HSM is supported for Solaris; drivers for the PCIe HSM and USB HSM options are not provided at this time. If multiple options appear when installing Luna HSM Client on Solaris, choose Network HSM only.
LUNA-2224	<b>Problem:</b> When you initialize an STC partition by applying a partition policy template, a confusing error (CKR_TOKEN_NOT_PRESENT) is returned. <b>Workaround:</b> None.
LUNA-2199	Problem: LunaCM occasionally freezes in Windows 2016 when a new slot is created or deleted.  Workaround: End the LunaCM instance with Task Manager and restart LunaCM.
LUNA-2007	Problem: Unable to establish NTLS connection using the one-step NTLS procedure on Solaris x86 when there are more partitions(10~15).  Workaround: Use the multi-step NTLS connection procedure on a Solaris client.
LUNA-1927	<b>Problem:</b> Unable to add new member to HA group after removing primary member. <b>Workaround:</b> Manually delete the serial number of the deleted Network HSM's partition from the VirtualToken00Members field in the <b>Chrystoki.conf</b> (Linux/UNIX) or <b>crystoki.ini</b> (Windows) file and then add the new partition to the existing HA group. It is added successfully, and the old entry from the lunacm HA list is also removed.
LUNA-1725	Problem: In LunaCM, partition archive restore -replace does not replace DUPLICATED objects in target partition.  Workaround: Remove all duplicate objects from the target partition prior to running partition archive restore -replace.
LUNA-1592	<b>Problem:</b> When trying to run the <b>HALogin.java</b> script, a CKR_UNKNOWN error is returned. <b>Workaround:</b> None. Do not use the <b>HALogin.java</b> sample.
RAPI-1211	Problem: In REST API, GET /api/lunasa/hsms may return an empty list.  Workaround: Another attempt may return a populated list if an HSM is available.
RAPI-383	Problem: REST API does not verify the NTLS client's IP against the certificate.  Workaround: None.
CPP-3261	Problem: After performing sysconf config factoryreset, the appliance host name is not reset.  Workaround: None.

Issue	Synopsis
CPP-3241	Problem: Untarred audit log files are not visible to the user.  Workaround: Untarred audit log files will not appear in the list of log files generated by the LunaSH command my file list, but they can still be verified using audit log verify -file <filename> - serialsource <serialnum>.</serialnum></filename>
CPP-3191	Problem: After rebooting the appliance, occasionally clients cannot see partitions on the first connection attempt.  Workaround: Run the vtl verify command again. The second attempt should be successful.
CPP-2954 LUNA-3352	<b>Problem:</b> The hsmCriticalEvent and hsmNonCriticalEvent counters incorrectly track HSM events. <b>Workaround:</b> None. SNMP hsmCriticalEvent and hsmNonCriticalEvent counters are not implemented in this release and will always remain 0.
CPP-2505 LUNA-132	Problem: When configuring a network device for IPv6 using SLAAC or DHCPv6, the IPv6 address is retrieved, but the name server and search domain are not.  Workaround: Configure the name server and search domain manually, using the LunaSH command network dns add {-nameserver <ip_address>   -searchdomain <net_domain>}.</net_domain></ip_address>
CPP-2368	Problem: The hagroup list command returns an error.  Workaround: Run the hagroup list command again. The second attempt should be successful.
CPP-1339	Problem: In LunaSH, sysconf config restore does not restore the SSH password for the admin user. If the password is not reset immediately, the admin user will be unable to log in to the appliance in subsequent SSH sessions.  Workaround: Use sysconf config clear to reset the admin password to the default. You must do this in the same session that you used to run the sysconf config restore command.
CPP-632 LUNA-7429	Problem: When using CKdemo with HA groups, an Attribute type invalid error is returned.  Workaround: If you plan to use HA groups, change your CKdemo settings to use legacy role logins To do this, select Role Support from the 98) Options in the OTHERS menu.
CPP-626 CPP-624	Problem: If you zeroize an HSM hosting an HA group member partition, all running cryptographic operations against the HA group fail.  Workaround: Remove any member partition from the HA group before zeroizing the host HSM.
LKX-5396	Problem: When creating an RSA key using CKDEMO, the user is mistakenly prompted for the Derive attribute (RSA key derivation is not allowed).  Workaround: None. The value entered is dropped and can be safely ignored.
LKX-4817	Problem: FM sample applications built on a Windows platform do not automatically locate the Cryptoki library.  Workaround: Move or copy the sample .exe to the main Lunaclient directory where the library is located.

Issue	Synopsis
LKX-4716	Problem: The wrapcomptest sample application hangs if it is used to query a non-FM slot or an invalid slot number.  Workaround: Interrupt the hanging application with CTRL+C. Use the correct slot for the FM partition.
LUNA-8782	Problem: Attempting to change a destructive HSM policy to an already-existing setting (0 to 0 or 1 to 1) results in partitions being renamed to "unknown1", "unknown2", etc. The partitions remain intact and are usable by clients.  Workaround: Ensure that your policy change commands are correct. If you did not mean to change the destructive policy and want to keep your existing partitions, you can rename them with lunash:>partition rename.
LUNA-3511	<b>Problem:</b> Audit logging: <b>hsm zeroize</b> is not logged after performing a factory reset of the HSM, since the audit configuration is erased during factory reset. <b>Workaround:</b> None.
LUNA-3276	Problem: When installing the Luna HSM Client software to a custom directory with spaces in the directory name, the installer creates a new named directory that ignores everything after the first space.  Workaround: Do not use spaces when naming your custom install directory.
LUNA-3126	Problem: After running lunash:> hsm ped connect on an uninitialized Luna Network HSM, hsm ped show may incorrectly display Number of Connected PED Server: 0.  Workaround: None necessary; this behavior does not affect the functioning of Remote PED.
LUNA-2103	Problem: If you enter duplicate policies (policies with the same ID) in the partition policy template, the partition will take the last value.  Workaround: Avoid duplicate policy IDs in partition policy template files.
LUNA-2022	Problem: Incorrect warning displayed when changing ssh restriction to bond slave device.  Message displayed is "Warning: SSH is already restricted to the specified ip address / ethernet card. No changes made."  Workaround: None. You cannot bind SSH to a bond slave.
LUNA-2015	<b>Problem:</b> Default ntlsOperStatus for SNMP is incorrectly set to <b>0</b> (correct value: <b>3</b> ). This can lead to errors in applications that adhere to syntax strictly. <b>Workaround:</b> None.
LUNA-339	<b>Problem:</b> Some appliance sensor information is missing or incorrectly reported via SNMP. <b>Workaround:</b> Use the LunaSH command <b>status sensors</b> to obtain this information.
LUNA-218	Problem: You cannot add a host or network route using the LunaSH network route add command without including the gateway value.  Workaround: None.

Issue	Synopsis
RAPI-1096	<b>Problem:</b> After modifying the webserver settings the <b>apiversion</b> under <b>/api/lunasa</b> becomes 0. <b>Workaround:</b> Restart the webserver service.
CPP-3404	Problem: CMU may crash or report a memory allocation error when using a non-FIPS signing mechanism in FIPS mode.  Workaround: Specify a FIPS-approved signing mechanism such as sha256withRSA.
CPP-3384 LUNA-1585	Problem: After zeroization or factory reset, the STC cipher option is set to NULL_ENC. Output from hsm stc status includes "Cipher Name: No Cipher".  Workaround: Run the LunaSH command hsm stc cipher enable -all to enable all available STC ciphers.
CPP-3235	Problem: In LunaCM, the partition clone command fails the first time if the Partition SO is logged in to the target slot.  Workaround: Run the partition clone command again. The second attempt should be successful.
CPP-2960	Problem: LunaCM hangs on exit on Windows 2016.  Workaround: End the LunaCM instance using the Task Manager.
CPP-2925	Problem: When the cklog library is configured, an error.txt file containing extraneous messages may be created.  Workaround: None.
CPP-2380	Problem: When running the MiscCSRCertificateDemo.java sample, a null pointer exception occurs.  Workaround: None.
CPP-1249 LUNA-1681	Problem: Remote backup through TCP/IP via the LunaCM command partition archive backup - slot remote -hostname <hostname> -port <portnum> is not recognized.  Workaround: Use RBS to backup partitions remotely.</portnum></hostname>
CPP-932	Problem: If the configured audit logging directory is not found, the PEDclient service fails with error LOGGER_init failed.  Workaround: Ensure that the directory you configure for audit logging exists.

## Resolved Issues

This section lists issues that have been resolved for the current release.

#### Table 3: List of resolved issues

Issue	Synopsis
LGX-1295	<b>Problem:</b> When using a one-time password to initialize the Luna G7 Backup HSM's RPV (orange PED key), including the <b>-pwd</b> option before <b>-ip</b> or <b>-hostname</b> causes the command to fail. <b>Resolved:</b> Fixed in Luna HSM Client 10.2.0.
LUNA-11616	Problem: If the client fails to resolve the DPoD service's DNS hostname, other client slots fail to load in LunaCM.  Resolved: Fixed in Luna HSM Client 10.2.0.
LUNA-11447	<b>Problem:</b> If an application running against an HA group fails over to the HSMoD member and the DNS hostname does not resolve, a segmentation fault can occur. <b>Resolved:</b> Fixed in Luna HSM Client 10.2.0.
LGX-1844	Problem: Luna G7 Backup HSM does not appear as a slot in LunaCM if ShowAdminTokens = not in the Luna HSM Client configuration file (Chrystoki.conf/crystoki.ini).  Resolved: Fixed in Luna HSM Client 10.2.0.
LGX-1149	<b>Problem:</b> When backing up objects to a G7-based Backup HSM from user partitions hosted on HSMs running older firmware, differences in the size of the metadata associated with the objects may cause the backup partition to become full before all of the objects are backed up, resulting in the following error message before all of the objects have been backed up: <ckr_container_object_storage_full> <b>Resolved:</b> Fixed in Luna HSM Client 10.2.0.</ckr_container_object_storage_full>

## **Revision History**

This section describes revisions made to this CRN since the initial release.

#### Revision A: 14 April 2020

> Initial Release

#### Revision B: 28 May 2020

- > Added to **Advisory Notes**:
  - "Red Hat Enterprise Linux / CentOS 6 Will Not be Supported in Future Releases" on page 3
  - Clarified java.security fix to "Resolved Issue LUNA-7585: Java DERIVE and EXTRACT flag settings for keys injected into the HSM" on page 5
- > Added to Resolved Issues: "LGX-1295" above

#### Revision C: 25 June 2021

- > Revised Advisory Note:
  - "Older JAVA versions require patch/update" on page 3

## **Support Contacts**

If you encounter a problem while installing, registering, or operating this product, please refer to the documentation before contacting support. If you cannot resolve the issue, contact your supplier or Thales Customer Support. Thales Customer Support operates 24 hours a day, 7 days a week. Your level of access is governed by the support plan negotiated between Thales and your organization. Please consult this plan for details regarding your entitlements, including the hours when telephone support is available to you.

#### **Customer Support Portal**

The Customer Support Portal, at https://supportportal.thalesgroup.com, is where you can find solutions for most common problems and create and manage support cases. It offers a comprehensive, fully searchable database of support resources, including software and firmware downloads, release notes listing known problems and workarounds, a knowledge base, FAQs, product documentation, technical notes, and more.

**NOTE** You require an account to access the Customer Support Portal. To create a new account, go to the portal and click on the **REGISTER** link.

#### **Telephone**

The support portal also lists telephone numbers for voice contact (Contact Us).